

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 4/10/2009
2. Agency: Department of Energy
3. Bureau: Environmental And Other Defense Activities
4. Name of this Capital Asset: SR Contractor Business/Financial and Administrative Systems, CB/FAS (SR Contractor Business and Administrative (CBA) Systems)
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 019-10-01-15-01-1057-00
6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&M. These investments should indicate their current status.) Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB? FY2001 or earlier
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

This investment supports the DOE General Management LOB, and the FEA BRM Business Area, Management of Government Resources. These 4 systems are provided as GFE to the site's prime contractors; SRNS and WSI to directly support the PMAs of Human Capital, Financial Management, Competitive Sourcing, and expanded E-Gov. Procurement Cycle System (PCS), a CBA project, collaborates externally with the U.S. Small Business Administration (SBA) by providing information on subcontract awards for compliance with set-aside contract goals. Financial systems collaborates internally within DOE by reporting site mission expenditures to the DOE Standard Accounting Reporting System (STARS). CBA collaborates internally with the EM Consolidated Infrastructure Investment through the reuse of Hercules for Continuous Asset Management (CAM) to enhance the security profile of the investment. CBA supports DOE's Core Mission of Site and Facility Remediation as described in the DOE Enterprise Architecture Transition Plan (EATP). CBA alignment with the FEA TRM for data and technology is shown in Table I.F.3. This investment includes the following four individual systems:

PCS - Integrated Procurement Management System integrates field requisitioning activities, materials requisitioning and nuclear facility maintenance scheduling. It provides automated support for management of the site's primary mission service subcontracts for facility deactivation and site closure activities. PCS also supports both DOE and Federal set-aside reporting requirements.

Human Resource Management System (HRMS) - Integrated payroll, human resource management, and benefits administration supports the HR needs of WSRC's 13,000+ employees and pensioners.

Contractor Financial Systems - A suite of fifteen (15) contractor applications that provide management accountability and reporting on cost accounting and funds control required to support SRS missions.

WSI Financial/HR-Payroll System - Provides automated support for back office functions of WSI, the site's prime security contractor. In addition to providing support for basic business management functions (budget planning and execution, payroll, manpower planning, and procurement) the system plays a key role in scheduling of security staff.
9. Did the Agency's Executive/Investment Committee approve this request? Yes
 - a. If "yes," what was the date of this approval? 8/21/2008
10. Did the Project Manager review this Exhibit? Yes
11. Contact information of Program/Project Manager?

Name Randall, Kim A

Phone Number (803)725-5472

Email Kim.Randall@srs.gov

 - a. What is the current FAC-P/PM (for civilian agencies) or Waiver Issued

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DAWIA (for defense agencies) certification level of the program/project manager?

b. When was the Program/Project Manager Assigned? 6/23/2008

c. What date did the Program/Project Manager receive the FAC-P/PM certification? If the certification has not been issued, what is the anticipated date for certification? 8/7/2009

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? Yes

a. Will this investment include electronic assets (including computers)? Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all that apply:

Competitive Sourcing
Expanded E-Government
Financial Performance
Human Capital

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

Human Capital: Integrates payroll, HR, and benefits for the site contractors.

Financial Performance: SRS and WSI Financial Systems integrates budgeting, accounting and procurement functions.

Competitive Sourcing/ Eliminate Improper Payments: PCS enables competitive procurement of materials and subcontracts and tracks set-aside compliance collaborating with the US Small Business Administration.

Expanded E-Gov-Reuses Site Infrastructure Hercules for Continuous Asset Management (CAM]]]

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.) Yes

a. If "yes," does this investment address a weakness found during a PART review? No

b. If "yes," what is the name of the PARTed program? 10001176 - Environmental Management

c. If "yes," what rating did the PART receive? Adequate

15. Is this investment for information technology? Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 2

17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23) No

19. Is this a financial management system? Yes

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- a. If "yes," does this investment address a FFMIA compliance area? No
1. If "yes," which compliance area: N/A
2. If "no," what does it address? This investment includes the following four systems to provide; procurement management, payroll, human resource and benefits administration, cost accounting and budget control for the sites' Management and Operating (M&O) and Security Contractors:

Procurement Cycle System (PCS)

Human Resource Management System (HRMS)

Contractor Financial Systems

WSI Financial/HR-Payroll System

- b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

SR Contractor Business and Administrative (CBA) Systems

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Hardware	21
Software	17
Services	62
Other	0

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

22. Contact information of individual responsible for privacy related questions:

Name	Conner, Pauline
Phone Number	803-952-8134
Title	FOIA & Privacy Act Officer
E-mail	pauline.conner@srs.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas? No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2008	CY 2009	BY 2010	BY+1 2011	BY+2 2012	BY+3 2013	BY+4 and beyond	Total
Planning:	0	0	0	0	0	0	0	0	0
Acquisition:	0	0	0	0	0	0	0	0	0
Subtotal Planning & Acquisition:	0	0	0	0	0	0	0	0	0
Operations & Maintenance:	15.0216	3.6436	3.8425	5.7394	5.9398	6.1482	6.3646	6.587	53.2867
TOTAL:	15.0216	3.6436	3.8425	5.7394	5.9398	6.1482	6.3646	6.587	53.2867
Government FTE Costs should not be included in the amounts provided above.									

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Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2008	CY 2009	BY 2010	BY+1 2011	BY+2 2012	BY+3 2013	BY+4 and beyond	Total
Government FTE Costs	0.222	0.064	0.065	0.066	0.067	0.068	0.069	0.07	0.691
Number of FTE represented by Costs:	2	1	1	1	1	1	1	1	9

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:

In FY 2008, actions have been initiated to implement the Energy, Science, and Environment Program Cyber Security Plan (ESE PCSP). In concert with these activities, the IT investment inventory (Exhibit 53s) and the Exhibit 300 Consolidated Investments (SR-CB/FAS, and SR-MSS) are being reviewed to align with the Accreditation Boundaries (AB), and and project groupings associated with the ABs.

As the first step in this process, the Data Warehouse (BI Query Tool) was removed from the lifecycle cost basis of the SR Contractor Business/Financial and Administrative Systems, CB/FAS, and moved to Infrastructure. In addition, the Contractor Financial Systems (A suite of ten (10) contractor applications that provide management accountability and reporting on cost accounting and funds control required to support SRS missions) were added to the cost basis of the CB/FAS Investment, commencing with the BY2010 Budget Request.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

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Contracts/Task Orders Table:															* Costs in millions	
Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
DE-AC09-99SOR18950-WSI Prime Contract for Security Services. Total Contract Value of WSI Security Contract is \$742,948K. CBA investment portion is \$4,265K, based on life cycle costs for WSI HR/PR and WSI Financial Systems through 6/30/09.	Cost + Award + Incentive Fee. WSI CBA systems: WSI Financial Management and WSI Human Resource/Payroll (HR/PR) are funded by General & Administrative (G&A) cost pool.	Yes	1/25/1999	1/25/1999	6/30/2009	4.265	No	Yes	Yes	NA	No	Yes	Corbin, Chris	803-952-9263 / c.corbin@srs.gov	Level 3	
DE-AC09-08SR22470, M&O Contract. IT scope added based on descope of CBA from Liquid Waste contract. Scope transfer on 1/2009. CBA portion of contract value is approximately \$13,800K based on		Yes	1/1/2009	1/1/2009	12/31/2013	13.8	No	Yes	No	NA	Yes	Yes	Morton, Angela	803-952-9236 / a.morton@srs.gov	Level 3	

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Contracts/Task Orders Table:																* Costs in millions
Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
current budget projections from 1/2009-12/2013.																
		No	6/30/2009	7/1/2009	7/1/2012	4.73	No	Yes	Yes	NA	No	Yes	Barry Page	513-246-0547 / barry.page@emcbc.doe.gov	Level 3	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

The CBA Investment is currently provided to the contractors (Washington Savannah River Company, WSRC and Wackenhut Services, Inc., WSI) as government furnished systems. Operations and Maintenance of these steady state investments is funded by DOE's prime M&O contract with WSRC (extended through 12/31/08) and Security Services Contract with WSI. The WSRC contract contains objective earned value measurement incentive provisions, though not directly with regard to the management of the contractor's internal business systems. The CBA Systems addressed by this investment are funded by overhead costs under both contracts. The contractor is, however, highly motivated to be innovative in improving the efficiency and cost effectiveness of their business systems and processes in that the WSRC contract allows savings achieved in those areas to be redirected to accelerated site cleanup and closure deliverables that are incentive-based.

The current WSRC contract is Cost + Incentive Fee with Objective Earned Value Measurement Incentive provisions (Contract DE-AC09-96SR18500). The total WSRC M&O contract value is \$14,113,000,000. The CBA Systems estimated investment contract value is \$13,528.9. The CBA contract value portion of the overall M&O contract value is based on Exhibit 300 Summary of Spending (lifecycle through December 31, 2008).

The WSI Contract is a Cost-Plus-Award/Incentive Fee. Due to the nature of this acquisition (Security Services), EVM is not included. The total WSI contract value (thru FY 2009) is \$ 742,947,670. The CBA Systems estimated investment contract value is \$ 3,556,000. The CBA contract value portion of the overall M&O contract value is based on Exhibit 300 Summary of Spending (PY - 1 and earlier + PY2006 + PY2007 + PY 2008).

If the contract is awarded to a small business on a non-competitive basis (one of the options), it would be awarded in accordance with Federal Acquisition Regulations (FAR) and Small Business Administration (SBA) set-aside regulations to a pre-qualified supplier. IT Investment performance metrics will be included in the contract.

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why not or how this is being done?

This investment uses a several tools such as Bobby (Watchfire), InFocus (SSB Technologies) and STEP508 to ensure that web applications and web sites are accessible to people with disabilities. These tools help to ensure Section 508 compliance by offering functionalities to manage compliance issues, including accessibility violations, configuration of accessibility standard

4. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date?

10/9/2008

1. Is it Current?

Yes

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE	Customer Results	Customer Benefit	Customer Satisfaction	Increase internal customer satisfaction with the functionality and capability of the implemented solution. Measure via customer survey	PeopleSoft, PCS, and Data Warehouse customer survey baseline (80% positive).	Increase % internal customer satisfaction with functionality and capability of IT delivered solutions as measured by	PeopleSoft and PCS enhancements reported 100% customer satisfaction, on time and on budget for EOY 2007.

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.				sent to customers of solutions implemented within the previous month.		customer survey (81% positive, 0 negative, EOY07).	
2007	GOAL 5.3 Human Capital Attract, acquire, develop and retain a diverse highly qualified and motivated workforce to support the Department's mission and avoid any potential skill gaps that could develop from an aging workforce.	Mission and Business Results	Human Resource Management	Employee Performance Management	Pursue acquisition and implementation of automated electronic employee performance measurement module for HRMS system.	Not currently used (PeopleSoft COTs module).	Increase collaboration with customer as measured by customer survey.	Installation of ePerformance completed in 12/06. Gap Analysis performed and path forward defined in 2/07. Software configuration complete Q3FY07.
2007	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Processes and Activities	Productivity	Efficiency	Measure increase in percent vendor invoices paid automatically. The measurement, though of technical nature, was a planned measurement over past years and will continue to be reported only through EOY07.	PCS - 87% of vendor invoices paid automatically as of EOY06.	Increase % of vendor invoices paid automatically from 87% to greater than 90%.	As of EOY 2007, PCS paid 90.84% of invoices automatically.
2007	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Processes and Activities	Security and Privacy	Security	Implement automated electronic CPC-16 process for managing HRMS system access.	Not currently used (PeopleSoft COTs module).	Increase use of CPC-16 Plus application for HRMS access requests by 50%.	In 2007, job functions and application roles were defined to match the HRMS system definitions and proof of concept demonstrated. As of EOY07, 100% of HRMS access requests have been processed by CPC-16 Plus automated electronic application.
2007	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective	Technology	Reliability and Availability	Availability	Customer impact. Measure by % positive, negative and not applicable impacts made by application enhancements, releases and outages as determined by customer surveys.	PeopleSoft, PCS, and Data Warehouse customer survey baseline.	Increase % positive impacts as determined by customer survey.	As of EOY 2007, customer impact metrics indicated overall satisfaction with IT delivered solutions as 84% positive, 16% neutral, and 0% negative.

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	line management oversight by Federal and contractor organizations.							
2008	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Customer Results	Customer Benefit	Customer Satisfaction	Increase internal customer satisfaction with the functionality and capability of the implemented solution. Measure via customer survey sent to customers of solutions implemented within the previous month.	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% internal customer satisfaction with functionality and capability of IT delivered solutions as measured by customer survey.	PeopleSoft and PCS enhancements reported 100% customer satisfaction, and on time and under budget performance for Q4 FY2008.
2008		Mission and Business Results	Human Resource Management	Employee Performance Management	Evaluate implementation of automated electronic employee performance measurement technology refresh for HRMS system	Degree of implementation and technology used will be evaluated during transition to multi-contractor configuration.	Evaluate electronic employee performance measurement of the HRMS system access with integration requirements of the multi-contract scenario.	As of Q4, evaluation is deferred pending finalization of multi-contract requirements.
2008	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Processes and Activities	Security and Privacy	Security	Implement automated electronic CPC-16 process for managing HRMS system access.	Not currently used - PeopleSoft item. Current application is outside the integrated suite of COTS modules that support Human Resources functions.	Increase use of electronic process for HRMS access requests by 75%. CPC16 Plus application is currently configured and has been exercised.	100% of the HRMS access requests were accomplished with the electronic CPC16 Plus application for the Q4 FY2008 reporting period.
2008	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Technology	Reliability and Availability	Availability	Customer impact. Measure by % positive, negative and not applicable impacts made by application enhancements, releases and outages as determined by customer surveys.	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% positive impacts as determined by customer survey.	As of Q4 2008, enhancements and support provided resulted in 55% positive, 0% negative and 45% neutral impacts.
2009	GOAL 5.1 Integrated Management	Customer Results	Customer Benefit	Customer Satisfaction	Increase internal customer satisfaction with	PeopleSoft, PCS and Data Warehouse	Increase by 10% internal customer	Customer satisfaction for Q2 FY09 is

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.				the functionality and capability of the implemented solution. Measure via customer survey sent to customers of solutions implemented within the previous month.	customer survey baseline.	satisfaction with functionality and capability of IT delivered solutions as measured by customer survey.	100% as measured by customer survey.
2009	GOAL 5.2 Human Capital - Ensure that DOE's workforce is capable of meeting the challenges of the 21st Century by attracting, motivating, and retaining a highly skilled and diverse workforce to do the best job.	Mission and Business Results	Human Resource Management	Compensation Management	Evaluate PeopleSoft Compensation functionality as technology refresh of internally developed software.	Currently using custom developed application. Compensation module is included in the integrated suite of COTS modules that support Human Resources functions.	Increase collaboration by 10% with customer as measured by customer survey. Work with customer to evaluate technology refresh of internally developed software.	Customer collaboration for Q2 FY09 is 100% as measured by customer survey.
2009	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Processes and Activities	Security and Privacy	Security	Implement automated electronic management of the HRMS system.	Degree of implementation and technology used will be evaluated during transition to multi-contractor configuration.	Evaluate electronic management of the HRMS system access with integration requirements of the multi-contractor scenario.	Evaluation complete and integration of multi-contractor scenario is complete Q1 FY09.
2009	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Technology	Reliability and Availability	Availability	Customer impact. Measure by % positive, negative and not applicable impacts made by application enhancements, releases and outages as determined by customer surveys.	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% positive impacts as determined by customer survey.	Q1 FY2009 baseline is 64.7% positive impacts. Q2 positive impacts is 90.3% which is 25.6% increase over baseline. There continued to be 0 % negative impacts for Q2 FY2009.
2010	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles	Customer Results	Customer Benefit	Customer Satisfaction	Increase internal customer satisfaction with the functionality and capability of the implemented solution. Measure via customer survey sent to	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% internal customer satisfaction with functionality and capability of IT delivered solutions as measured by customer	Actual results will be available end of FY10. Interim results will be reported quarterly.

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.				customers of solutions implemented within the previous month.		survey.	
2010	GOAL 5.2 Human Capital - Ensure that DOE's workforce is capable of meeting the challenges of the 21st Century by attracting, motivating, and retaining a highly skilled and diverse workforce to do the best job.	Mission and Business Results	Human Resource Management	Compensation Management	Evaluate PeopleSoft Compensation capability to support a multi-contract scenario, as technology refresh of internally developed software.	Currently, PeopleSoft manages compensation for the M/O contractor only. This paradigm will shift as the previous M/O scope is split among multiple contracts and the M/O contractor retains compensation responsibility-pension, payroll and benefits.	Increase collaboration by 10% with customer as measured by customer survey. Work with customer to evaluate possibility of technology refresh of internally developed software.	Actual results will be available end of FY10. Interim results will be reported quarterly.
2010	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Processes and Activities	Security and Privacy	Security	Implement automated electronic management of the HRMS system to reduce cyber vulnerabilities.	Degree of implementation and application used will be baselined during transition to multi-contractor configuration.	Increase use of electronic process for HRMS access requests to 50%. consistent with integration of multi contractors.	Actual results will be available end of FY10. Interim results will be reported quarterly.
2010	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Technology	Reliability and Availability	Availability	Customer impact. Measure by % positive, negative and not applicable impacts made by application enhancements, releases and outages as determined by customer surveys.	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% positive impacts as determined by customer survey.	Actual results will be available end of FY10. Interim results will be reported quarterly.
2011	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and	Customer Results	Customer Benefit	Customer Satisfaction	Increase internal customer satisfaction with the functionality and capability of the implemented solution. Measure via customer survey sent to customers of solutions implemented	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% internal customer satisfaction with functionality and capability of IT delivered solutions as measured by customer survey.	Actual results will be available end of FY11. Interim results will be reported quarterly.

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	accountability to include effective line management oversight by Federal and contractor organizations.				within the previous month.			
2011	GOAL 5.2 Human Capital - Ensure that DOE's workforce is capable of meeting the challenges of the 21st Century by attracting, motivating, and retaining a highly skilled and diverse workforce to do the best job.	Mission and Business Results	Human Resource Management	Compensation Management	Implement PeopleSoft Compensation capability to support multi-contractor scenario as technology refresh of internally developed software.	Currently, PeopleSoft manages compensation for the M/O contractor only. This paradigm will shift as the previous M/O scope is split among multiple contracts and the M/O contractor retains compensation responsibility (pension, payroll and benefits).	Increase collaboration by 10% with customer as measured by customer survey. Work with customer to ensure technology refresh is complete.	Actual results will be available end of FY11. Interim results will be reported quarterly.
2011	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Processes and Activities	Security and Privacy	Security	Implement automated electronic management of the HRMS system to reduce cyber vulnerabilities.	Degree of implementation and application used to be baselined with multi-contractor configuration.	Increase use of electronic HRMS access management to 75% consistent with integration of multiple contractors.	Actual results will be available end of FY11. Interim results will be reported quarterly.
2011	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Technology	Reliability and Availability	Availability	Customer impact. Measure by % positive, negative and not applicable impacts made by application enhancements, releases and outages as determined by customer surveys.	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% positive impacts as determined by customer survey.	Actual results will be available end of FY11. Interim results will be reported quarterly.
2012	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line	Customer Results	Customer Benefit	Customer Satisfaction	Increase internal customer satisfaction with the functionality and capability of the implemented solution. Measure via customer survey sent to customers of solutions implemented within the previous month.	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% internal customer satisfaction with functionality and capability of IT delivered solutions as measured by customer survey.	Actual results will be available end of FY12. Interim results will be reported quarterly.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	management oversight by Federal and contractor organizations.							
2012	GOAL 5.2 Human Capital - Ensure that DOE's workforce is capable of meeting the challenges of the 21st Century by attracting, motivating, and retaining a highly skilled and diverse workforce to do the best job.	Mission and Business Results	Human Resource Management	Compensation Management	Continue implementation of multi contract support within or integrated with the PeopleSoft suite.	Currently, PeopleSoft manages compensation for the M/O contractor only. This paradigm will shift as the previous M/O scope is split among multiple contracts and the M/O contractor retains compensation responsibility (pension, payroll and benefits).	Increase collaboration with customer as measured by customer survey. Work with customer to provide 100% M&O contractor and pensioner pay via PeopleSoft.	Actual results will be available end of FY12. Interim results will be reported quarterly.
2012	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Processes and Activities	Security and Privacy	Security	Implement automated electronic management of the HRMS system to reduce cyber vulnerabilities.	Degree of implementation and application used to be baselined with multi-contractor configuration.	Increase use of electronic HRMS access management to 100% consistent with integration of multiple contractors.	Actual results will be available end of FY12. Interim results will be reported quarterly.
2012	GOAL 5.1 Integrated Management Institute integrated business management approach throughout DOE with clear roles and responsibilities and accountability to include effective line management oversight by Federal and contractor organizations.	Technology	Reliability and Availability	Availability	Customer impact. Measure by % positive, negative and not applicable impacts made by application enhancements, releases and outages as determined by customer surveys.	PeopleSoft, PCS and Data Warehouse customer survey baseline.	Increase by 10% positive impacts as determined by customer survey.	Actual results will be available end of FY12. Interim results will be reported quarterly.

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information

contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment?:

a. If "yes," provide the "Percentage IT Security" for the budget year:

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment?

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)
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4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date Completed: C&A	What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, Other, N/A)	Date Completed: Security Control Testing	Date the contingency plan tested
SR Contractor Financial Systems							
SR Human Resource Management System (HRMS)							
SR Procurement Cycle System (PCS)							
SR WSI Financial Management							
SR WSI Human Resource/Payroll (HR/PR)							

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

Contractor security procedures are monitored, verified and validated by a comprehensive set of controls that include inbound and outbound monitoring of connections, internal system log/audit reviews, annual risk assessments (and monthly risk reviews) and continuous monitoring. Contractor security procedures and performance is surveyed annually by the DOE Savannah River Operations Office and independently assessed by the Office of Independent Oversight (OIO) and the DOE Inspector General. In addition, CBA users participate in annual security awareness training as part of the Savannah River Site annual Consolidated Annual Training (CAT) Program.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
SR Contractor Financial Systems (IBARS Subsystem only)	No	Yes	http://www.management.energy.gov/FOIA/PrivacyImpactAssessments.htm#Environmental_Management_EM	Yes	http://edocket.access.gpo.gov/2008/pdf/E8-6239.pdf
SR Human Resource Management System (HRMS)	No	Yes	http://www.management.energy.gov/FOIA/PrivacyImpactAssessments.htm#Environmental_Management_EM	Yes	http://edocket.access.gpo.gov/2008/pdf/E8-6239.pdf
SR Procurement Cycle System (PCS)	No	Yes	http://www.management.energy.gov/FOIA/PrivacyImpactAssessments.htm#Environmental_Management_EM	Yes	http://edocket.access.gpo.gov/2008/pdf/E8-6239.pdf
SR WSI Financial Management	No	No	No, because the system does not contain, process, or transmit personal identifying information.	No	N/A
SR WSI Human Resource/Payroll (HR/PR)	No	No	No, because the system does not contain, process, or transmit personal identifying information.	No	N/A
Details for Text Options: Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted. Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN. Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.					

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

- Is this investment included in your agency's target enterprise architecture? Yes
 - If "no," please explain why?
- Is this investment included in the agency's EA Transition Strategy? Yes
 - If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. SR Contractor Business and Administrative(CBA) Systems
 - If "no," please explain why?
- Is this investment identified in a completed and approved segment architecture? No
 - If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to <http://www.egov.gov>. 345-000

4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .

Exhibit 300: SR Contractor Business/Financial and Administrative Systems, CB/FAS (SR Contractor Business and Administrative (CBA) Systems) (Revision 19)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Debt Collection	Support the process of Accounts Receivable	Back Office Services	Financial Management	Debt Collection			No Reuse	3
Payment Settlement	Support the process of Accounts Payable.	Back Office Services	Financial Management	Payment / Settlement			No Reuse	5
Payroll	Involve the administration and determination of employee compensation.	Back Office Services	Financial Management	Payroll			No Reuse	8
Workforce Acquisition/Optimization	Support the hiring and restructuring of employees and their roles within an organization.	Back Office Services	Human Capital / Workforce Management	Workforce Acquisition / Optimization			No Reuse	3
Benefit Management	Support the enrollment and participation in an organization's compensation and benefit programs.	Back Office Services	Human Resources	Benefit Management			No Reuse	5
Personnel Administration	Support the matching between an organization's employees and potential opportunities as well as the modification, addition and general upkeep of an organization's employee specific information.	Back Office Services	Human Resources	Personnel Administration			No Reuse	8
Retirement Management	Support the payment of benefits to retirees.	Back Office Services	Human Resources	Retirement Management			No Reuse	2
Ad Hoc	Support the use of dynamic reports on an as-needed basis.	Business Analytical Services	Reporting	Ad Hoc			No Reuse	5
Standardized/Canned	Support the use of pre-conceived or pre-written reports.	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	3
Portfolio Management	Support the administration of a group of investments held by an organization.	Business Management Services	Investment Management	Portfolio Management			No Reuse	5
Change Management	Control the process for updates or modifications to the existing documents, software or business	Business Management Services	Management of Processes	Change Management			No Reuse	5

Exhibit 300: SR Contractor Business/Financial and Administrative Systems, CB/FAS (SR Contractor Business and Administrative (CBA) Systems) (Revision 19)

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	processes of an organization.							
Configuration Management	Control the hardware and software environments as well as documents of an organization.	Business Management Services	Management of Processes	Configuration Management			No Reuse	5
Invoice/Requisition Tracking and Approval	Support the identification of where the shipment or delivery is within the business cycle.	Business Management Services	Supply Chain Management	Invoice / Requisition Tracking and Approval			No Reuse	5
Ordering/Purchasing	Allow the placement of requests for a product.	Business Management Services	Supply Chain Management	Ordering / Purchasing			No Reuse	6
Procurement	Support the ordering and purchasing of products and services.	Business Management Services	Supply Chain Management	Procurement			No Reuse	6
Sourcing Management	Support the supply of goods or services as well as the tracking and analysis of costs for these goods.	Business Management Services	Supply Chain Management	Sourcing Management			No Reuse	5
Online Tutorials	Provide electronic interface to educate and assist customers.	Customer Services	Customer Initiated Assistance	Online Tutorials			No Reuse	2
Reservations/Registration	Allow electronic enrollment and confirmations for services.	Customer Services	Customer Initiated Assistance	Reservations / Registration			No Reuse	2
Self-Service	Allow an organization's customers to sign up for a particular service at their own initiative.	Customer Services	Customer Initiated Assistance	Self-Service			No Reuse	2
Call Center Management	Handle telephone service to the end user.	Customer Services	Customer Relationship Management	Call Center Management			No Reuse	3
Customer/Account Management	Support the retention and deliver of a service or product to an organization's clients.	Customer Services	Customer Relationship Management	Customer / Account Management			No Reuse	5
Surveys	Are used to collect useful information from	Customer Services	Customer Relationship Management	Surveys			No Reuse	3

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4. Service Component Reference Model (SRM) Table:

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Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	an organization's customers.							

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Customer / Account Management	Service Access and Delivery	Access Channels	Collaboration / Communications	
Benefit Management	Service Access and Delivery	Access Channels	Collaboration / Communications	
Personnel Administration	Service Access and Delivery	Access Channels	Collaboration / Communications	
Payroll	Service Access and Delivery	Access Channels	Collaboration / Communications	
Workforce Acquisition / Optimization	Service Access and Delivery	Access Channels	Collaboration / Communications	
Change Management	Service Access and Delivery	Access Channels	Collaboration / Communications	
Surveys	Service Access and Delivery	Access Channels	Web Browser	
Self-Service	Service Access and Delivery	Access Channels	Web Browser	
Reservations / Registration	Service Access and Delivery	Access Channels	Web Browser	
Online Tutorials	Service Access and Delivery	Access Channels	Web Browser	
Customer / Account Management	Service Access and Delivery	Access Channels	Web Browser	
Surveys	Service Access and Delivery	Delivery Channels	Intranet	
Reservations / Registration	Service Access and Delivery	Delivery Channels	Intranet	
Online Tutorials	Service Access and Delivery	Delivery Channels	Intranet	
Self-Service	Service Access and Delivery	Delivery Channels	Intranet	
Customer / Account Management	Service Access and Delivery	Delivery Channels	Intranet	
Procurement	Service Access and Delivery	Service Transport	Service Transport	
Sourcing Management	Service Access and Delivery	Service Transport	Service Transport	
Ordering / Purchasing	Service Access and Delivery	Service Transport	Service Transport	
Invoice / Requisition Tracking and Approval	Service Access and Delivery	Service Transport	Service Transport	
Procurement	Service Access and Delivery	Service Transport	Supporting Network Services	
Sourcing Management	Service Access and Delivery	Service Transport	Supporting Network Services	
Ordering / Purchasing	Service Access and Delivery	Service Transport	Supporting Network Services	
Invoice / Requisition Tracking and Approval	Service Access and Delivery	Service Transport	Supporting Network Services	
Configuration Management	Service Access and Delivery	Service Transport	Supporting Network Services	
Configuration Management	Service Access and Delivery	Service Transport	Supporting Network Services	

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5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Portfolio Management	Service Access and Delivery	Service Transport	Supporting Network Services	
Procurement	Service Access and Delivery	Service Transport	Supporting Network Services	
Sourcing Management	Service Access and Delivery	Service Transport	Supporting Network Services	
Ordering / Purchasing	Service Access and Delivery	Service Transport	Supporting Network Services	
Invoice / Requisition Tracking and Approval	Service Access and Delivery	Service Transport	Supporting Network Services	
Configuration Management	Service Access and Delivery	Service Transport	Supporting Network Services	
Procurement	Service Platform and Infrastructure	Database / Storage	Database	
Sourcing Management	Service Platform and Infrastructure	Database / Storage	Database	
Ordering / Purchasing	Service Platform and Infrastructure	Database / Storage	Database	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Database / Storage	Database	
Ad Hoc	Service Platform and Infrastructure	Database / Storage	Database	
Standardized / Canned	Service Platform and Infrastructure	Database / Storage	Database	
Call Center Management	Service Platform and Infrastructure	Database / Storage	Database	
Procurement	Service Platform and Infrastructure	Database / Storage	Database	
Sourcing Management	Service Platform and Infrastructure	Database / Storage	Database	
Ordering / Purchasing	Service Platform and Infrastructure	Database / Storage	Database	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Database / Storage	Database	
Ad Hoc	Service Platform and Infrastructure	Database / Storage	Database	
Standardized / Canned	Service Platform and Infrastructure	Database / Storage	Database	
Call Center Management	Service Platform and Infrastructure	Database / Storage	Database	
Customer / Account Management	Service Platform and Infrastructure	Database / Storage	Database	
Surveys	Service Platform and Infrastructure	Database / Storage	Database	
Online Tutorials	Service Platform and Infrastructure	Database / Storage	Database	
Reservations / Registration	Service Platform and Infrastructure	Database / Storage	Database	
Self-Service	Service Platform and Infrastructure	Database / Storage	Database	
Benefit Management	Service Platform and Infrastructure	Database / Storage	Database	
Retirement Management	Service Platform and Infrastructure	Database / Storage	Database	
Personnel Administration	Service Platform and Infrastructure	Database / Storage	Database	
Payroll	Service Platform and Infrastructure	Database / Storage	Database	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Database / Storage	Database	
Configuration Management	Service Platform and Infrastructure	Database / Storage	Database	
Portfolio Management	Service Platform and Infrastructure	Database / Storage	Database	
Payment / Settlement	Service Platform and Infrastructure	Database / Storage	Database	
Debt Collection	Service Platform and Infrastructure	Database / Storage	Database	
Payment / Settlement	Service Platform and Infrastructure	Database / Storage	Database	
Debt Collection	Service Platform and Infrastructure	Database / Storage	Database	
Procurement	Service Platform and Infrastructure	Database / Storage	Database	

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5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Sourcing Management	Service Platform and Infrastructure	Database / Storage	Database	
Ordering / Purchasing	Service Platform and Infrastructure	Database / Storage	Database	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Database / Storage	Database	
Ad Hoc	Service Platform and Infrastructure	Database / Storage	Database	
Standardized / Canned	Service Platform and Infrastructure	Database / Storage	Database	
Call Center Management	Service Platform and Infrastructure	Database / Storage	Database	
Customer / Account Management	Service Platform and Infrastructure	Database / Storage	Database	
Surveys	Service Platform and Infrastructure	Database / Storage	Database	
Online Tutorials	Service Platform and Infrastructure	Database / Storage	Database	
Reservations / Registration	Service Platform and Infrastructure	Database / Storage	Database	
Self-Service	Service Platform and Infrastructure	Database / Storage	Database	
Benefit Management	Service Platform and Infrastructure	Database / Storage	Database	
Retirement Management	Service Platform and Infrastructure	Database / Storage	Database	
Personnel Administration	Service Platform and Infrastructure	Database / Storage	Database	
Payroll	Service Platform and Infrastructure	Database / Storage	Database	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Database / Storage	Database	
Configuration Management	Service Platform and Infrastructure	Database / Storage	Database	
Portfolio Management	Service Platform and Infrastructure	Database / Storage	Database	
Reservations / Registration	Service Platform and Infrastructure	Database / Storage	Storage	
Customer / Account Management	Service Platform and Infrastructure	Database / Storage	Storage	
Surveys	Service Platform and Infrastructure	Database / Storage	Storage	
Online Tutorials	Service Platform and Infrastructure	Database / Storage	Storage	
Self-Service	Service Platform and Infrastructure	Database / Storage	Storage	
Procurement	Service Platform and Infrastructure	Database / Storage	Storage	
Sourcing Management	Service Platform and Infrastructure	Database / Storage	Storage	
Ordering / Purchasing	Service Platform and Infrastructure	Database / Storage	Storage	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Database / Storage	Storage	
Ad Hoc	Service Platform and Infrastructure	Database / Storage	Storage	
Standardized / Canned	Service Platform and Infrastructure	Database / Storage	Storage	
Benefit Management	Service Platform and Infrastructure	Database / Storage	Storage	
Retirement Management	Service Platform and Infrastructure	Database / Storage	Storage	
Personnel Administration	Service Platform and Infrastructure	Database / Storage	Storage	
Payroll	Service Platform and Infrastructure	Database / Storage	Storage	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Database / Storage	Storage	
Payment / Settlement	Service Platform and Infrastructure	Database / Storage	Storage	
Debt Collection	Service Platform and	Database / Storage	Storage	

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5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
	Infrastructure			
Payment / Settlement	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Debt Collection	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Customer / Account Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Surveys	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Reservations / Registration	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Online Tutorials	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Self-Service	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Benefit Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Retirement Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Personnel Administration	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Payroll	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Debt Collection	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Customer / Account Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Online Tutorials	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Reservations / Registration	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Self-Service	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Surveys	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Benefit Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Retirement Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Personnel Administration	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Payroll	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Customer / Account Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Online Tutorials	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Reservations / Registration	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Self-Service	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Surveys	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Benefit Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Retirement Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Personnel Administration	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	

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5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Payroll	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Procurement	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Sourcing Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Ordering / Purchasing	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Ad Hoc	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Surveys	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Customer / Account Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Online Tutorials	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Reservations / Registration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Benefit Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Retirement Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Personnel Administration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Payroll	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Procurement	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Sourcing Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Ordering / Purchasing	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Ad Hoc	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Debt Collection	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Debt Collection	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Debt Collection	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Debt Collection	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Debt Collection	Service Platform and Infrastructure	Support Platforms	Dependent Platform	

Exhibit 300: SR Contractor Business/Financial and Administrative Systems, CB/FAS (SR Contractor Business and Administrative (CBA) Systems) (Revision 19)

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
	Infrastructure			
Procurement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Sourcing Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Ordering / Purchasing	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Ad Hoc	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Standardized / Canned	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Customer / Account Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Surveys	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Reservations / Registration	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Self-Service	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Online Tutorials	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Benefit Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Personnel Administration	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Payroll	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Procurement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Sourcing Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Ordering / Purchasing	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Ad Hoc	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Standardized / Canned	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Customer / Account Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Surveys	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Reservations / Registration	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Self-Service	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Online Tutorials	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Benefit Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Personnel Administration	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Payroll	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Procurement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Sourcing Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Ordering / Purchasing	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Ad Hoc	Service Platform and Infrastructure	Support Platforms	Dependent Platform	

Exhibit 300: SR Contractor Business/Financial and Administrative Systems, CB/FAS (SR Contractor Business and Administrative (CBA) Systems) (Revision 19)

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Standardized / Canned	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Sourcing Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Ordering / Purchasing	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Ad Hoc	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Standardized / Canned	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Procurement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Customer / Account Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Surveys	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Reservations / Registration	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Self-Service	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Online Tutorials	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Benefit Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Personnel Administration	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Payroll	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Workforce Acquisition / Optimization	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Debt Collection	Service Platform and Infrastructure	Support Platforms	Dependent Platform	

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? Yes

a. If "yes," please describe.

Procurement Cycle System (PCS), a CB/FAS project, collaborates externally with the U.S. Small Business Administration (SBA) by providing information on subcontract awards for compliance with set-aside contract goals.

The Contractor Financial Systems (Integrated Budget Accounting Reporting System (IBARS)) application collaborates internally with the DOE Standard Accounting Reporting System (DOE STARS). STARS processes the site's expenditures from the IBARS month end closing process, assigns the DOE STARS general ledger information, and reports to DOE.

Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Risk Management (All Capital Assets)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes
- a. If "yes," what is the date of the plan? 5/28/2008
- b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? Yes
- c. If "yes," describe any significant changes:

The top three (3) risks were updated and mitigation actions and planned responses (should the risks occur) were documented as follows:

Risk 1 - Failure/loss of business continuity with the Procurement Cycle System (PCS). Loss of ability to perform procurement functions.

Impact: Medium Probability of Occurrence: Medium

Mitigation Actions: Migrate portions of PCS functionality to Oracle platform (supported data base). Perform quality assurance testing on changes (i.e. cyber security patching, compliance driven modifications, etc., to ensure PCS remains stable). Monitor for failures and negative customer impacts to manage any change in the currently acceptable operability.

Planned Response should Risk Occur: Rollback to prior versions of product set.

Risk 2 - Ability of PCS to meet changing business requirements, degree of system stakeholder satisfaction with functionality.

Impact: Medium Probability: Medium

Mitigation Actions: Monitor PCS performance for failures and negative customer impacts, identify D/M/E requirements, if any. Perform business process analysis of Multi-contractor requirements. Review PCS financial management applications (i.e. accounts payable) for performance in multi-contractor environment.

Planned Response should Risk Occur: Implement new contractor processes and systems. migrate remaining PCS functionality to Oracle.

Risk 3 - Lack of resources (manpower) available to support outdated PCS. Technical obsolescence, i.e., inability to maintain outdated legacy PCS application (ADABAS/Natural).

Impact: Medium Probability: Medium

Mitigation Actions: Migrate portions of PCS functionality to Oracle platform (supported data base). Monitor for failures and negative customer impacts to manage any change in the currently acceptable operability. Continue vendor support for hardware, software, and middleware.

Planned Response should Risk Occur: Restoration of the product set from last version.

2. If there currently is no plan, will a plan be developed?

- a. If "yes," what is the planned completion date?
- b. If "no," what is the strategy for managing the risks?

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was an operational analysis conducted? Yes
- a. If "yes," provide the date the analysis was completed. 6/2/2008
- b. If "yes," what were the results?

Operational Analysis (OA) Process

Financial reviews are conducted each month. Performance monitoring is conducted each quarter. Risk management plans are developed annually, with monthly reviews. Performance against milestones (Section III.D.2) is monitored on a quarterly basis. The need for system upgrades, or DME, is driven by the Risk Management Analysis (which is performed for all applications), as well as performance metrics from customer surveys. Systems identified at risk have "performance gaps" with the Federal Enterprise Architecture (FEA) in one or more of the following areas: software supportability, hardware obsolescence, technology obsolescence, support personnel availability and flexibility to meet customers' business requirements. In general, software and operating systems are upgraded where cost effective or required in order to maintain vendor support. Applications are continuously maintained and upgraded to ensure that the risk of failure, system security, or outage is minimized.

Financial Analysis

Costs are captured from SR financial systems and reported by the site contractor(s) on a monthly basis against Annual Operating Plan (AOP) budgets. Variances greater than 10% (positive or negative) are documented in the Quarterly Control

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Review Process and a remediation plan developed and implemented. At the Q3FY08 Quarterly Control Review, SR CBA was on plan for FY2008 YTD(10/1/07-5/31/08). The variance was -6.76% actuals to plan.

Technical Refreshes (CY 2008)

Performance gaps with the FEA, are driving the following technical refreshes scheduled for CY2008:

- 1) Procurement Cycle System (PCS)
- 2) Human Resource Management System (HRMS)
- 3) WSI Human Resource/Payroll (HR/PR)

Major System Upgrades

Review of performance against FEA PRM metrics (Table I.D), did not justify investment in DME.

c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Contractor and Government Performance information (Government Only/Contractor Only/Both)?

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2.b Comparison of Plan vs. Actual Performance Table

Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Schedule (# days)	Cost(\$M)
FY04	Maintenance and Production Operation	9/30/2004	\$3.040000	9/30/2004	\$3.040000	0	\$0.000000
FY05	Maintenance and Production Operation	9/30/2005	\$3.545000	9/30/2005	\$3.606000	0	-\$0.061000
FY06	Maintenance and Production Operation	9/30/2006	\$3.384000	9/30/2006	\$3.432000	0	-\$0.048000
FY-06-01	Steady State Operation (October 1, 2005 - June 30, 2006)	6/30/2006	\$2.384000	6/30/2006	\$2.703000	0	-\$0.319000
FY-06-02	Steady State Operation (July 1, 2006 - September 30, 2006)	9/30/2006	\$0.999000	9/30/2006	\$0.729000	0	\$0.270000
FY-06-03	Complete Part II, Operational Analysis	9/22/2006	\$0.001000	9/22/2006	\$0.000000	0	\$0.001000
FY07	Maintenance and Production Operation	9/30/2007	\$3.490000	9/30/2007	\$3.711000	0	-\$0.221000
FY-07-01	Steady State Operation	9/30/2007	\$3.485000	9/30/2007	\$3.706000	0	-\$0.221000
FY-07-02	Complete Acquisition Plan for SRS Rebid.	11/15/2006	\$0.001000	8/22/2006	\$0.001000	85	\$0.000000
FY-07-03	Issue SRS M/O Rebid Solicitation. (Includes IT Systems Investment).	11/30/2006	\$0.001000	12/15/2006	\$0.001000	-15	\$0.000000
FY-07-04	SR Contractor and Administrative Systems (SR CBA) - EM Recertification - Last C&A date: June 30, 2004	6/30/2007	\$0.001000	6/8/2007	\$0.001000	22	\$0.000000
FY-07-05	Complete evaluation of acquisition and implementation of electronic employee performance measurement module for HRMS system.	9/28/2007	\$0.001000	9/28/2007	\$0.001000	0	\$0.000000
FY-07-06	Funding decision on Security POAMs.	4/30/2007	\$0.001000	4/30/2007	\$0.001000	0	\$0.000000
FY08	Maintenance and Production Operation	9/30/2008	\$3.386000	9/30/2008	\$3.462000	0	-\$0.076000
FY-08-01	Complete Annual Operational Analysis review.	5/30/2008	\$0.001000	6/2/2008	\$0.001000	-3	\$0.000000
FY-08-02	Complete Annual Risk Management Plan Update.	6/30/2008	\$0.001000	5/28/2008	\$0.001000	33	\$0.000000

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2.b Comparison of Plan vs. Actual Performance Table

Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Schedule (# days)	Cost(\$M)
FY-08-03	Complete Annual ST&E Testing.	6/30/2008	\$0.001000	3/7/2008	\$0.001000	115	\$0.000000
FY-08-04	Complete Annual Contingency Plan Testing.	6/30/2008	\$0.001000	6/6/2008	\$0.001000	24	\$0.000000
FY-08-05	Steady State Operation	9/30/2008	\$3.382000	9/30/2008	\$3.458000	0	-\$0.076000
FY09	Maintenance and Production Operation	9/30/2009	\$3.575000	2/28/2009	\$1.564000	214	\$2.011000
FY10	Maintenance and Production Operation	9/30/2010	\$5.805000				
FY-10-01	Steady State Operation	9/30/2010	\$5.804000				
FY-10-02		6/30/2010	\$0.001000				
FY11	Maintenance and Production Operation	9/30/2011	\$6.007000				
FY12	Maintenance and Production Operation	9/30/2012	\$6.216000				
FY13	Maintenance and Production Operation	9/30/2013	\$6.434000				
FY14	Maintenance and Production Operation	9/30/2014	\$6.657000				
Project Totals		9/30/2014	\$51.539000	2/28/2009	\$18.815000	2040	\$32.724000